

01/03/02

JC698 U.S. PTO

JC971 U.S. PTO

10/03/02

01/03/02

**UTILITY PATENT
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

011577

Total Pages

First Named Inventor or Application Identifier

Kaoru YASUMATSUYA and Takashi OKUDA

Check Box, if applicable [] Duplicate

Express Mail Label No.

APPLICATION ELEMENTS FOR:
**METHOD AND APPARATUS FOR CONTROLLING
TOOL CHANGER**

ADDRESS TO:

Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 202311. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)2. ☒ Specification Total Pages [17]3. ☒ Drawing(s) (35 USC 113) Total Sheets [3]4. ☒ Oath or Declaration Total Pages [3]a. ☒ Newly executed (original)b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed).i. ☐ Deletion of Inventor(s)Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).5. ☐ Incorporation by reference (useable if box 4b is checked)The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement Verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☒ Assignment Papers (cover sheet and document(s)) to: **Mori Seiki Co., Ltd., Yamatokoriyama-shi, Japan**
Please publish the assignee data with the application.9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT
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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☒ Copy of IDS Citation (PTO-1449 & 1 Ref.)

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document is enclosed.

a. Priority of Japanese Application No. 2001-007451 filed on January 16, 2001 is claimed under 35 USC 119.

16. ☐ Other _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$740.00
Total Claims	4 - 20		x \$18.00	
Independent Claims	2 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	
Basic Filing Fee				\$740.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$40.00
TOTAL				\$780.00

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☒ A check in the amount of \$ 780.00 is enclosed to cover the filing fee of \$740.00 and the assignment recordation fee of \$40.00.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. **CORRESPONDENCE ADDRESS:**



SUBMITTED BY	
Typed or Printed Name	William F. Westerman
Signature	Reg. No. 29,988
	Date: January 3, 2002

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